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Supplement of

International safeguards for the final disposal of spent nuclear fuel – why, what and how

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INTERNATIONAL SAFEGUARDS FOR THE FINAL DISPOSAL OF SPENT NUCLEAR FUEL – WHY, WHAT AND HOW

11.11.2021 |

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Institute of Energy and Climate Research, IEK-6: Nuclear Waste Management

Member of the Helmholtz Association

SESSION 4A EN
**Activities in international research
programs and collaborations**



NUCLEAR SAFEGUARDS

To deter the spread of nuclear weapons by the early detection of the misuse of nuclear material or technology



After the **first nuclear bomb was dropped on Hiroshima on 6 August 1945**, US-President Harry Truman unveiled the top-secret **Manhattan Project**.

Treaty on the Non-Proliferation of Nuclear Weapons (NPT), 1970

Non-proliferation (Art. I & II),
Safeguards (Art. III)

Peaceful use of nuclear energy (Art. IV & V)

Disarmament (Art. VI)



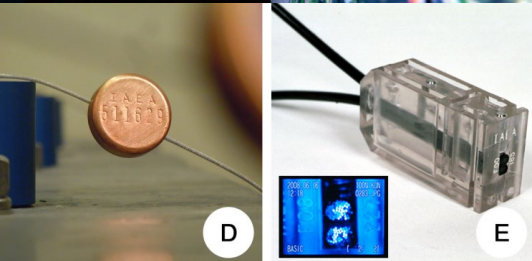
Atoms for Peace Speech
US-President Dwight D. Eisenhower, UN General Assembly, 8 December 1953

Generic safeguards objectives

To detect any diversion of declared nuclear material at declared facilities or locations outside facilities where nuclear material is customarily used (LOFs)

To detect any undeclared production or processing of nuclear material at declared facilities or LOFs

To detect any undeclared nuclear material or activities in the state as a whole



IAEA Safeguards in 2020

Verifying the peaceful use of nuclear material

184 States
with safeguards agreements in force
of which

136 States
had additional protocols in force

31 States
with comprehensive safeguards agreements and original small quantities protocols

63 States
with comprehensive safeguards agreements and amended small quantities protocols

221 432
significant quantities of nuclear material



1 321
nuclear facilities and locations outside facilities



145 million
regular budget
+27 million extra budgetary



875 staff
from 95 countries

Conducted
2 856
in-field verifications



12 767
days in the field

Verified
23 600 seals
applied to nuclear material, facility critical equipment or IAEA's safeguards equipment at nuclear facilities

2 362
days under quarantine in country



Collected
460
environmental samples
489
nuclear material samples



Acquired
1 264
commercial satellite images



Remotely monitored
142
facilities



Utilized
1 038
non-destructive assay systems for the measurement of nuclear material



Maintained
1 530
surveillance cameras at nuclear facilities



We concluded that for ...

72 States
all nuclear material remained in peaceful activities

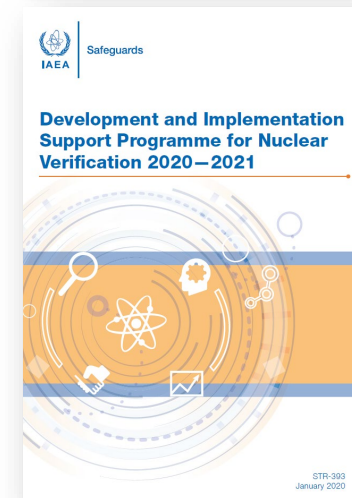
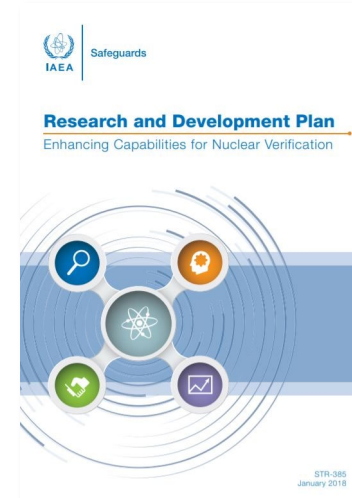
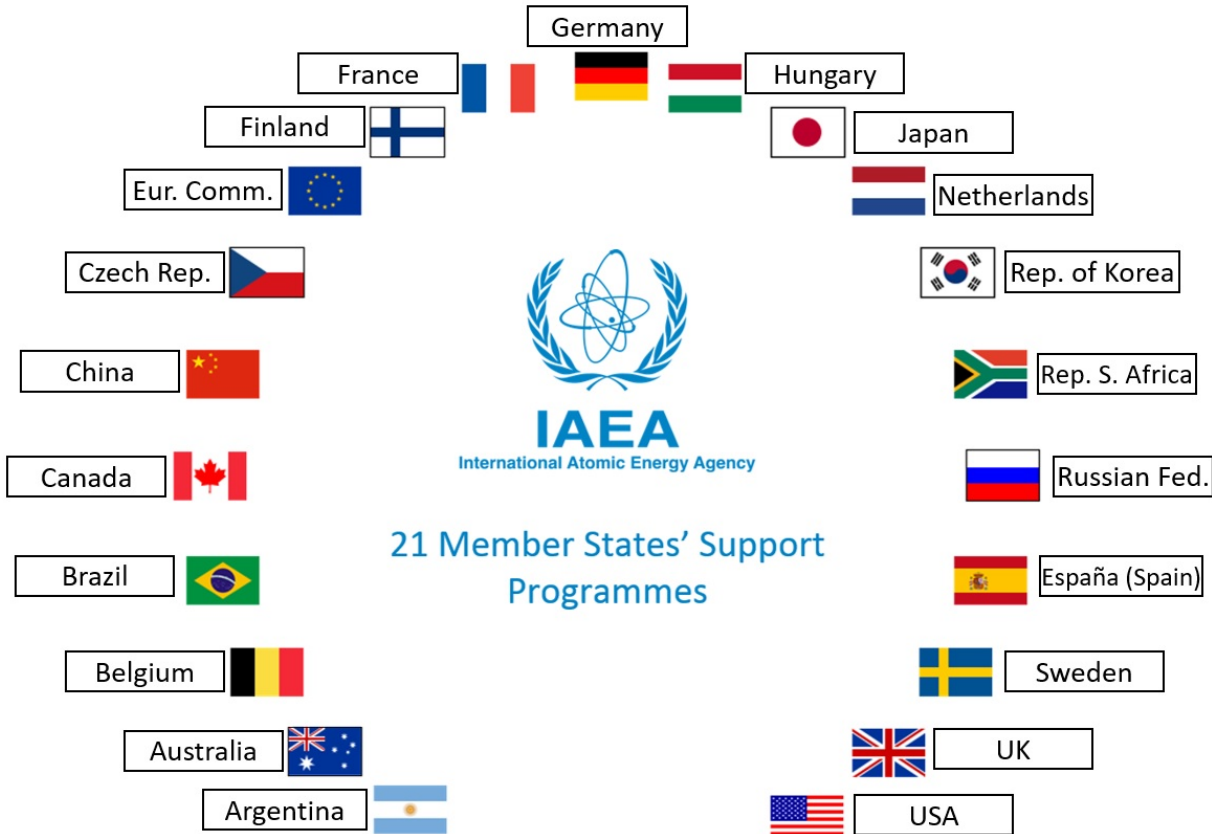
103 States
declared nuclear material remained in peaceful activities

3 States
nuclear material, facilities or other items to which safeguards had been applied remained in peaceful activities

5 States
nuclear material in selected facilities to which safeguards had been applied remained in peaceful activities

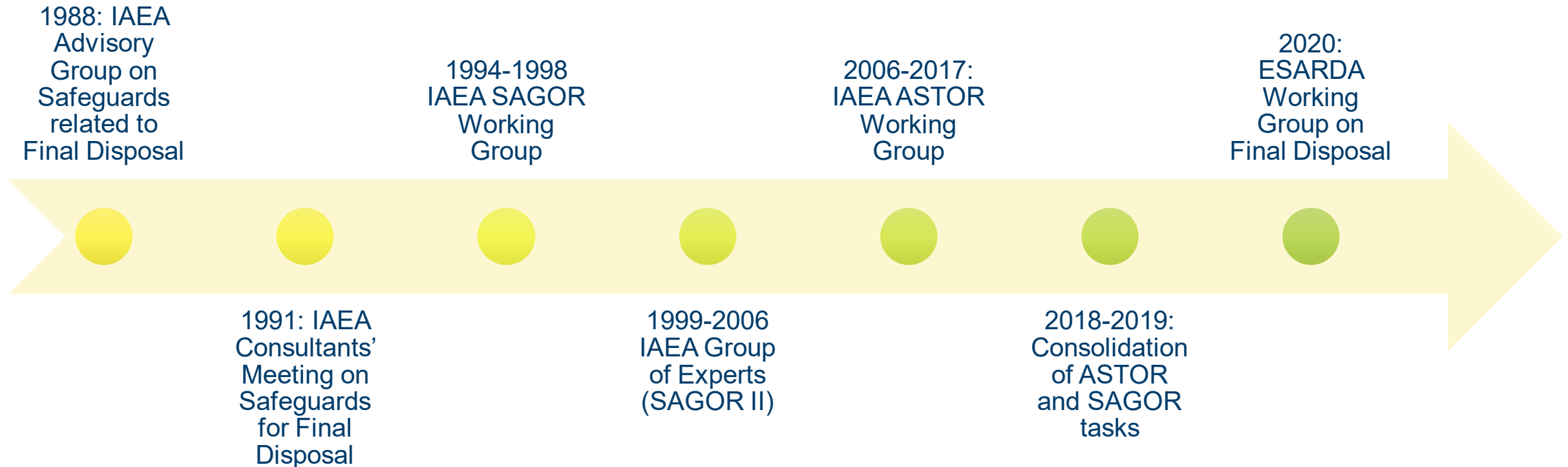
INTERNATIONAL COLLABORATION IN SAFEGUARDS

Member State Support Programmes in IAEA Safeguards



INTERNATIONAL COLLABORATION IN SAFEGUARDS

Safeguarding final disposal of spent nuclear fuel - Overview



SAGOR : Safeguards for Geological Repositories
ASTOR: Application of Safeguards to Geological Repositories
ESARDA: European Safeguards Research and Development Association

SAGOR (1994-1998) & SAGOR II (1999-2006)

Safeguards for Geological Repositories: Reports and activities



SAGOR

STR-312 (1998): Safeguards for the Final Disposal of Spent Fuel in Geological Repositories



SAGOR II

STR-324 (2000): The Use of Geophysical Techniques for Safeguarding Geological Repositories

STR-338 (2003): Interface Issues and Interaction Between Safeguards and Radioactive Waste Management in the Context of Geological Repositories

ASTOR (2006-2017)

Application of Safeguards to Geological Repositories: Reports and activities



ASTOR

STR-384 (2017):
Technologies
Potentially Useful
for Safeguarding
Geological
Repositories



Technology principle and brief description

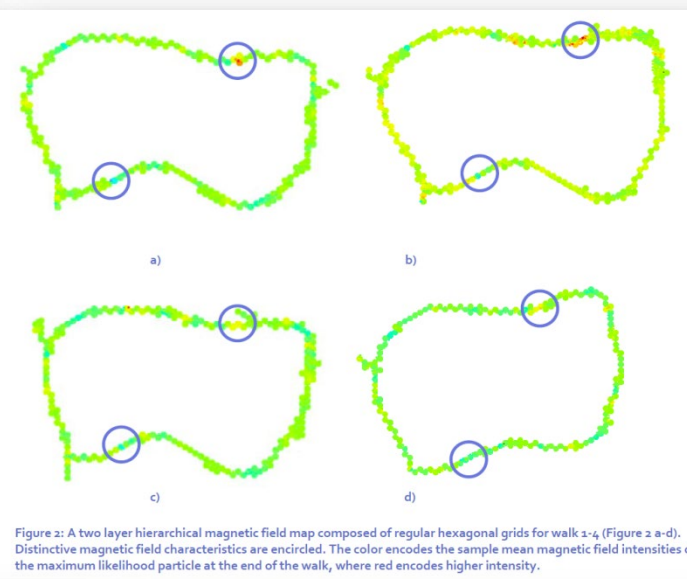
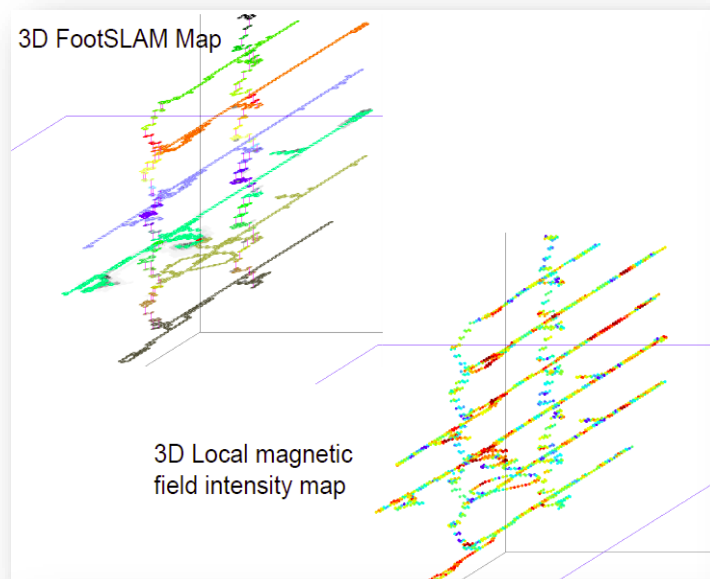
- Technology readiness level
- Technology limitations
- Estimated costs
- Sustainability, standardization, supply chain
- Ease of use / operator skill / infrastructure needs
- Data validation / authentication
- Expected 'Alarm' rates

Technologies

- Design Information Verification (DIV)
- Non-destructive analysis (NDA) verification
- Containment and surveillance (C/S) measures and canister ID
- Satellite imagery
- Geophysical techniques (i.a. seismic detection, directional radar technology)
- Long-term management of safeguards-related data

DESIGN INFORMATION VERIFICATION (DIV)

Detection of undeclared constructions



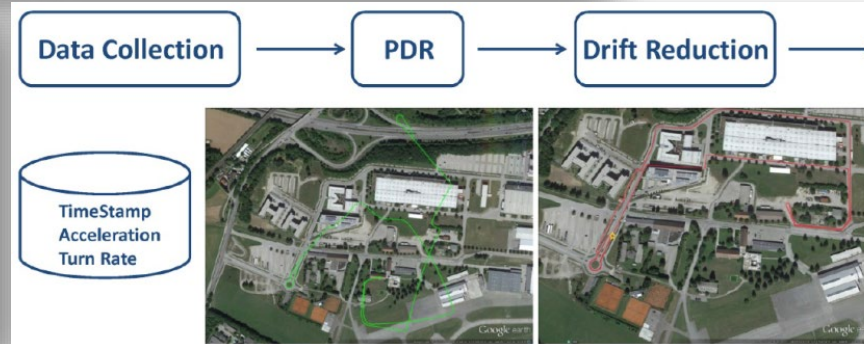
Simultaneous location and mapping (SLAM) (GER-DLR)

S. Kaiser, E. Munoz Diaz, P. Robertson (2016): **Study on the Feasibility of FootSLAM for Use During Safeguards Verification Activities.** JOPAG/03.16-PRG-423

S. Kaiser, E. Munoz Diaz (2016): **FootSLAM2: Enhancing IAEA's Navigation Algorithm for use in Complementary Access Inspections.** JOPAG/03.16-PRG-424

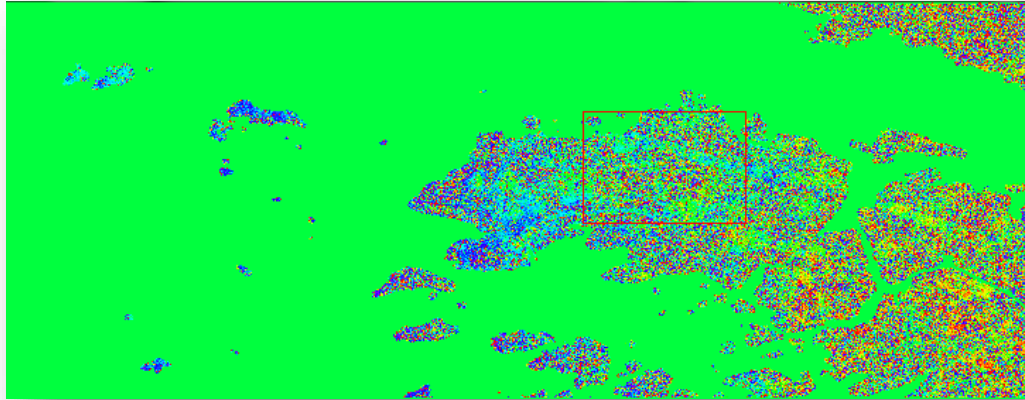


Figure 1: Left: Five DLR employees within the active graphite mine in Kropfmühl close to Passau wearing special clothes and helmets; Right: Special waterproof fixation of the sensors at the rubber boots.

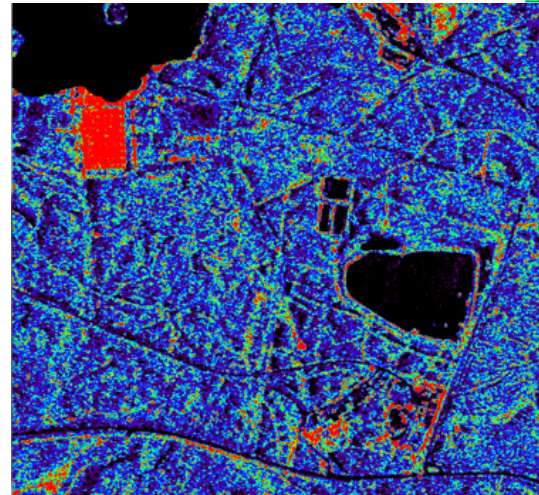
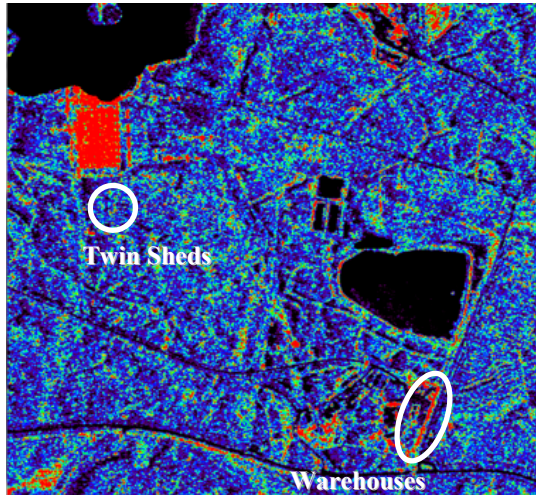
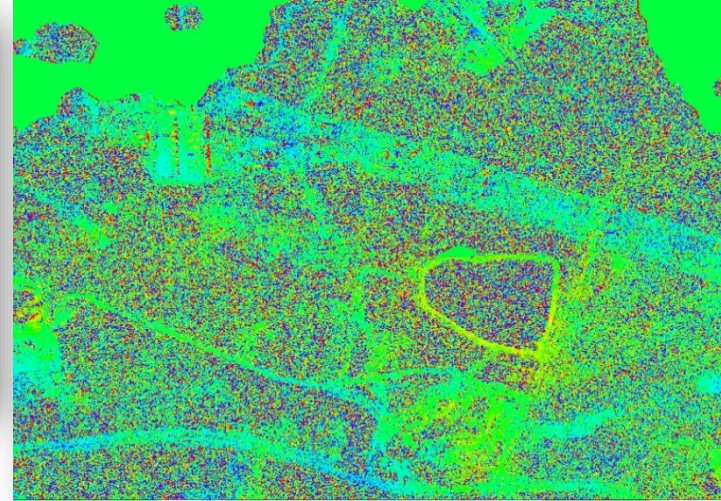


SATELLITE IMAGERY (SI)

Change detection, deformation analysis



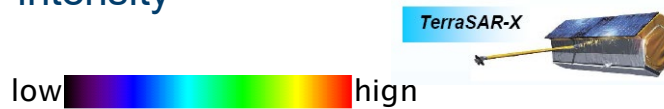
-2π  2π



TerraSAR-X images acquired at May 23 (right) and June 3 (left)

Top: Interferogramm

Left: Color-coded backscatter intensity

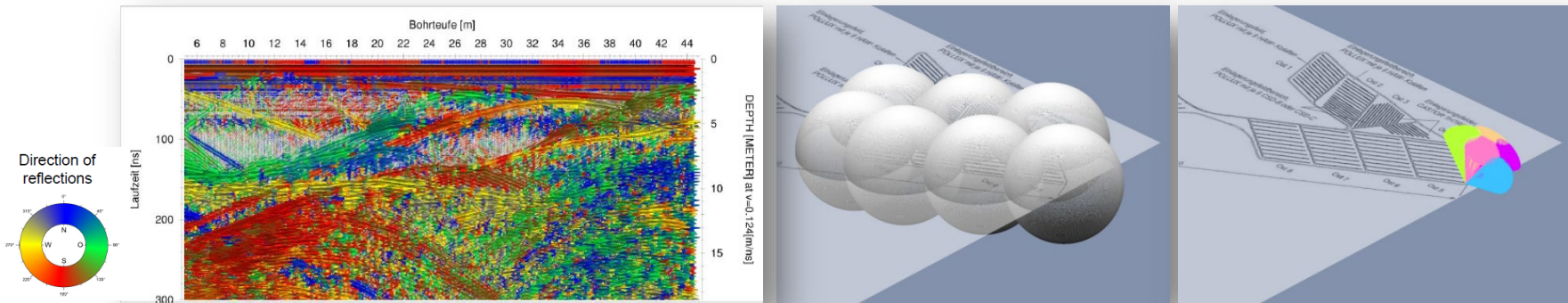


Application of SAR interferometry and non-coherent change detection techniques (CAN/FIN/GER-Jülich/JPN)

I. Niemeyer, O. Okko, B. Moran, D. Davainius (2018): **Safeguarding Geological Repositories Using Geophysical Monitoring and Satellite Imagery**. Proc. of the INMM Annual Meeting 2018

GEOPHYSICAL TECHNIQUES

Detection of undeclared / unauthorised activities



Acoustic and seismic monitoring (GER-TU Dortmund)

J. Altmann, H. Kühnicke (2015): **Acoustic and Seismic Measurements for the Detection of Undeclared Activities at Geological Repositories. Results from the Gorleben Exploratory Mine.** JOPAG/11.13-PRG-404

J. Altmann (2015): **Modelling of Seismic-Wave Propagation at a Salt Dome. Final Report.** JOPAG/12.15-PRG-422

Directive underground radar (GER-DMT)

C. Holst, D. Orlowsky, S. Uchtmann (2017): **Applicability of the directional radar technology for safeguards monitoring nuclear repositories in geological formations.** Proc. ESARDA Symposium, Düsseldorf, 2017

CONSOLIDATION OF SAGOR AND ASTOR RECOMMENDATIONS (2018-2019)



To support the Agency's knowledge management for future generations of safeguards staff in future geological repository programs

Consolidation

QUESTIONS AND ANSWERS REGARDING GEOLOGICAL REPOSITORY SYSTEM SAFEGUARDS IMPLEMENTATION	
ACRONYMS AND ABBREVIATIONS	4
HISTORY	7
1. How did the international Atomic Energy Agency (IAEA) take into account Member States' facility designs in the development of IAEA safeguards for geological repository systems?	7
2. What is the purpose of the Policy Paper 15 and the model integrated safeguards approaches for encapsulation plants and geological repositories?	7
3. What were SAGOR's and ASTOR's responsibilities in establishing IAEA safeguards policy and practices?	8
4. How does the purpose differ between ASTOR Programme and the Encapsulation Plant and Geological Repository (EPGR) Working Group?	8
DEFINITIONS	9
5. What is the difference between a spent fuel "conditioning plant" and a spent fuel "encapsulation plant" and what does "consolidation" mean?	9
6. What is the difference between a geological repository, a geological repository facility, and a geological repository site?	10
7. What is the definition of each phase of the life cycle of a geological repository?	11
8. When is a repository determined to be 'closed' (for purposes of safeguards)?	11
9. What is the definition of 'difficult to dismantle'?	12
10. What is the definition of 'difficult to access'?	12
11. What are the requirements for verification of nuclear material that is difficult to access?	12
12. What is the safeguards meaning of "containment" in the case of a geological repository?	12
13. What is the meaning of 'verified' as it pertains to a pre-operational repository or to spent fuel to be or being emplaced in a geological repository?	13
GENERAL POLICY	13
14. Why does safeguards not terminate on spent fuel when it is emplaced in a backfilled and closed geological repository?	13
15. How can safeguards be implemented more efficiently in geological repository systems in States with a broader conclusion?	14
44 Q&A's	

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1. INFCIRC/153 (Corrected), THE STRUCTURE AND CONTENT OF AGREEMENTS BETWEEN THE AGENCY AND STATES REQUIRED IN CONNECTION WITH THE TREATY ON THE NON-PROLIFERATION OF NUCLEAR WEAPONS, International Atomic Energy Agency, June 1972.	8
2. INFCIRC/540 (Corrected), MODEL PROTOCOL ADDITIONAL TO THE AGREEMENT(S) BETWEEN STATE(S) AND THE INTERNATIONAL ATOMIC ENERGY AGENCY FOR THE APPLICATION OF SAFEGUARDS, International Atomic Energy Agency, September 2005.	8
3. INFCIRC/254/Rev 12/Part 1, COMMUNICATION RECEIVED FROM THE PERMANENT MISSION OF THE CZECH REPUBLIC TO THE INTERNATIONAL ATOMIC ENERGY AGENCY REGARDING CERTAIN MEMBER STATES' GUIDELINES FOR THE EXPORT OF NUCLEAR MATERIAL, EQUIPMENT AND TECHNOLOGY, International Atomic Energy Agency, November 2013.	8
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5. GOV/2014/41, SUPPLEMENTARY DOCUMENT TO THE REPORT ON THE CONCEPTUALIZATION AND DEVELOPMENT OF SAFEGUARDS IMPLEMENTATION AT THE STATE LEVEL (GOV/2013/38), 13 August 2014	8
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7. SG-OP-GNRL-PL-0010, POLICY PAPER 10: CONTAINMENT AND SURVEILLANCE, 2006	9
8. SG-OP-GNRL-PL-0014, POLICY PAPER 14: TERMINATION OF SAFEGUARDS ON MEASURED DISCARDS; SAFEGUARDS ON RETAINED WASTE, 2008-12-22	9
9. SG-OP-GNRL-PL-0015, POLICY PAPER 15: SAFEGUARDS FOR FINAL DISPOSAL OF SPENT FUEL IN GEOLOGICAL REPOSITORIES, Date of Entry into Force: 1997-06-19	9
10. SG-OP-GNRL-PL-0019, POLICY PAPER 19: INTEGRATED SAFEGUARDS FOR SPENT FUEL TRANSFERS TO DRY STORAGE, 6 March 2006	11
11. SG-OP-GNRL-PL-0020, POLICY PAPER 20: JOINT USE OF SAFEGUARDS EQUIPMENT BETWEEN THE IAEA AND AN EXTERNAL PARTY, 26 April 2006	12
II. MODEL APPROACHES AND GUIDANCE DOCUMENTS	13
12. INVS No. 3, IAEA SAFEGUARD Series, June 2002	13
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93 references (incl. abstracts)	

CONSOLIDATION OF SAGOR AND ASTOR

RECOMMENDATIONS (2018-2019): ACHIEVEMENTS



Performed diversion path analysis for generic encapsulation plants and geological repositories

Identified potential safeguards detection points for each facility type and operating phase

Identified facility-specific technical objectives

Identified safeguards measures that could be used for each facility

Developed and assessed a safeguards approach based on IAEA Safeguards Criteria

Provided input and review of IAEA safeguards policy for spent fuel disposal

Identified research and development needs and reviewed status of related development tasks

Supported preparation of a model integrated safeguards approach for each facility type

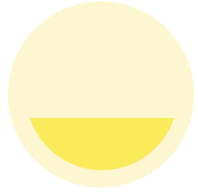
Consolidation

Supported preparation and testing of a design information questionnaire for each facility type

Provided input to a safeguards implementation 'road map' developed by the IAEA Department of Safeguards

Issued a report on the status of technologies that could be used for safeguarding encapsulation plants and geological repositories (STR-384)

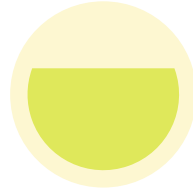
FURTHER R&D NEEDS



Technologies

Establish performance requirements for the design of relevant safeguards technologies

Develop and test appropriate safeguards equipment

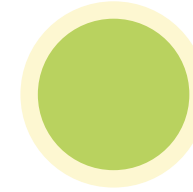


Implementation

Determine specific information needs of stakeholders and develop appropriate guidance

Develop safeguards approaches under the State-level concept

Develop further approaches on 'Safeguards-by-Design' and the 'Safety-Security-Safeguards' (3S)



Data and information management

Develop approaches on how information about disposed spent fuel and high-level nuclear waste should be managed, handled, organized, archived, read, interpreted, and secured for the long term (for centuries after repository closure and beyond)

SAFEGUARDING A GEOLOGICAL REPOSITORY IN GERMANY

Responsibilities



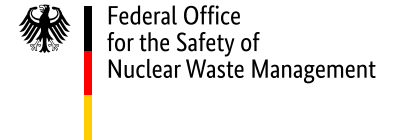
Germany does not have a national safeguards authority of its own and does not carry out safeguards inspections on its own. Sovereign rights in this field were transferred to the EC (DG Energy, EURATOM). EURATOM is the owner of all nuclear fuel in the EU.



The Federal Ministry for Economic Affairs and Energy (BMWi) holds the overall political responsibility as to maintaining any interferences of safeguards activities with domestic regulations.

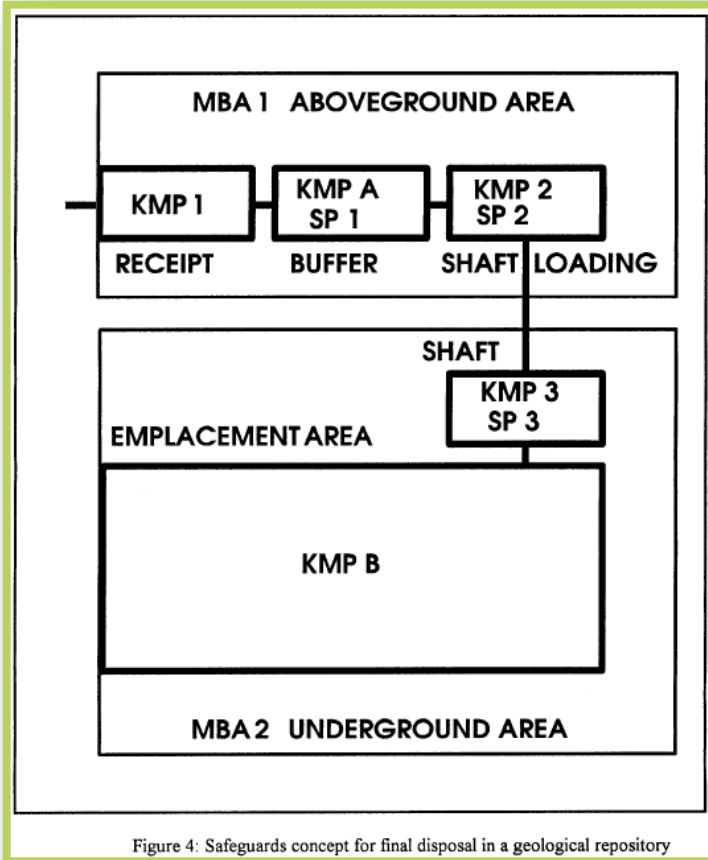


EURATOM acts as multinational safeguards authority and is the direct contact for the IAEA on the one hand and the operators on the other within the framework of the verification agreement between the IAEA, EURATOM and the EU member states (INFCIRC/193).



REFERENCE CONCEPT FOR NUCLEAR MATERIAL SAFEGUARDS IN A GEOLOGICAL REPOSITORY FOR SPENT NUCLEAR FUEL (1993)

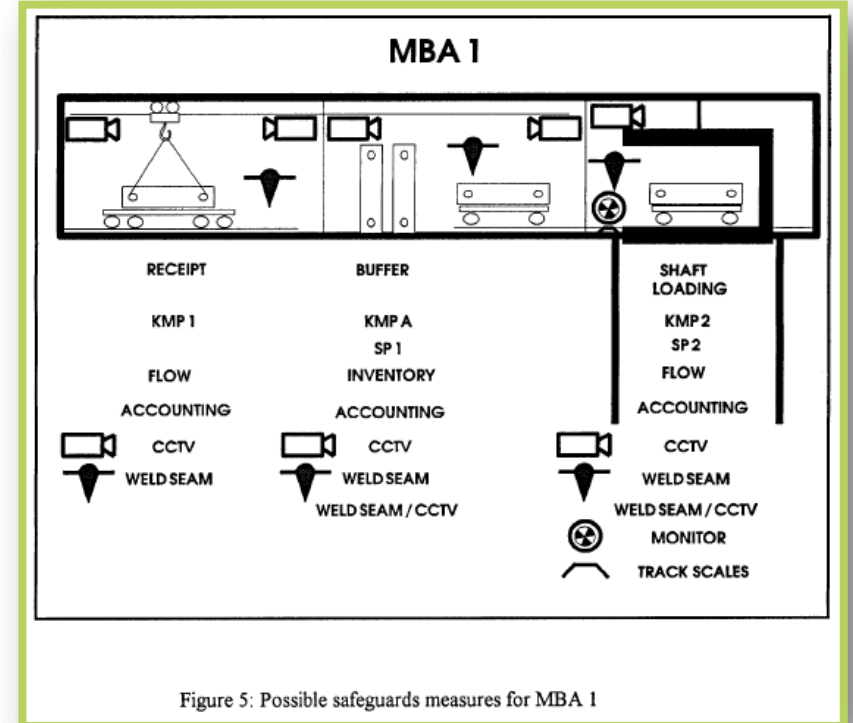
[JOPAG/12.93-PRG-205, 1993]



KMP: Key Measurement Point
MBA: Material Balance Area
SP: Strategic Point

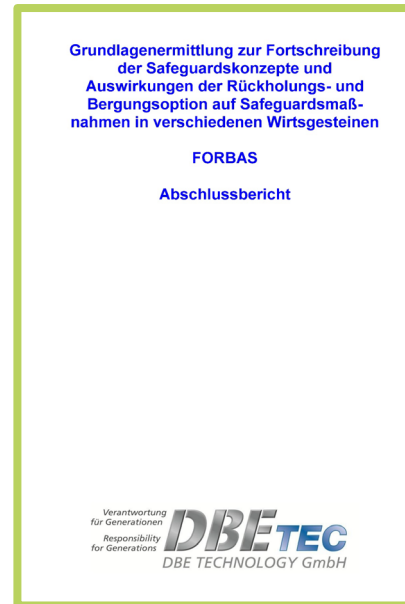
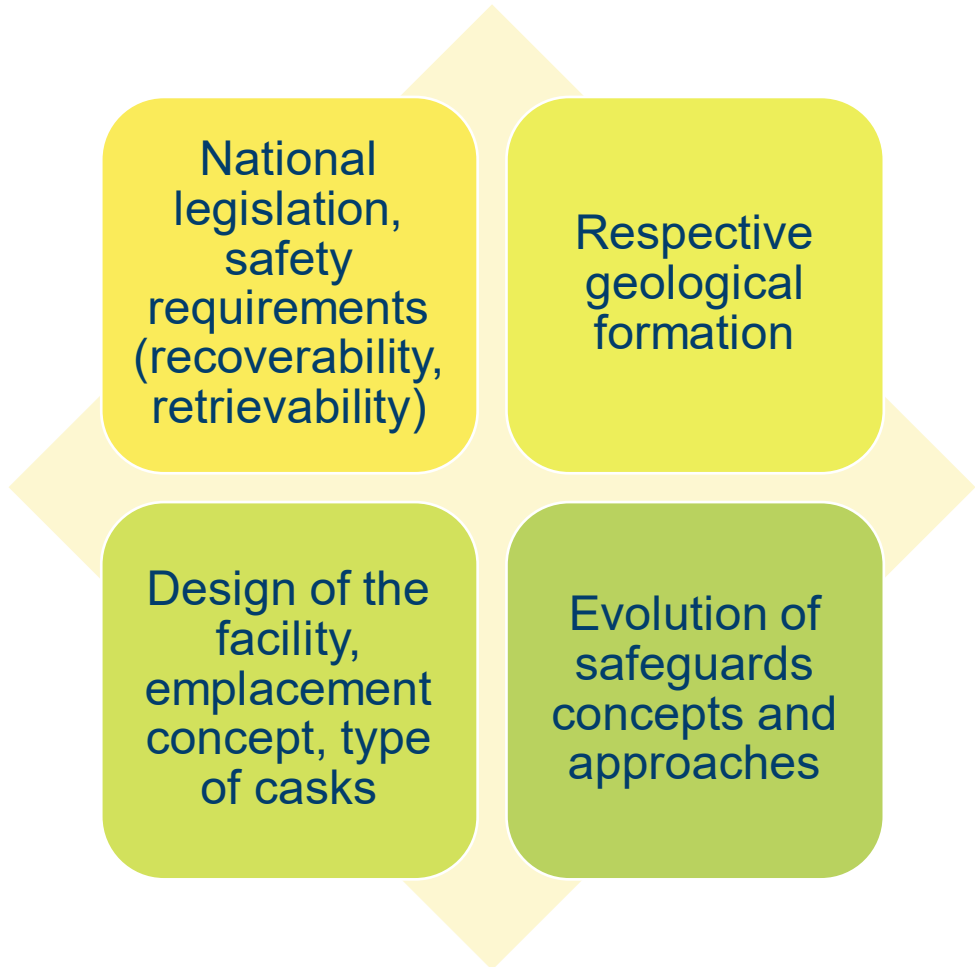
MBA 2:

- Accounting and re-examination of the plant design data
- No containment/surveillance measures
- No inspection activities



UPDATING THE SAFEGUARDS CONCEPT

Taking into account the 2010 safety requirements (recoverability, retrievability)



The State-level approach (SLA) for Germany will address the safeguards measures and activities for the geological repository. The given SLA for Germany will be updated in the different lifecycle phases of the repository.



Thank you for your attention.

Dr. Irmgard Niemeyer

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